



## On a Circle Placement Problem\*

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### Abstract — Zusammenfassung

**On a Circle Placement Problem.** We consider the following circle placement problem: given a set of points  $p_i, i=1, 2, \dots, n$ , each of weight  $w_i$ , in the plane, and a fixed disk of radius  $r$ , find a location to place the disk such that the total weight of the points covered by the disk is maximized. The problem is equivalent to the so-called maximum weighted clique problem for circle intersection graphs. That is, given a set  $S$  of  $n$  circles,  $D_i, i=1, 2, \dots, n$ , of the same radius  $r$ , each of weight  $w_i$ , find a subset of  $S$  whose common intersection is nonempty and whose total weight is maximum. An  $O(n^2)$  algorithm is presented for the maximum clique problem. The algorithm is better than a previously known algorithm which is based on sorting and runs in  $O(n^2 \log n)$  time.

**Über ein Problem der Kreisscheibenplatzierung.** Diese Arbeit untersucht das folgende Optimierungsproblem: gegeben sei eine Menge von Punkten  $P_i, i=1, 2, \dots, n$ , in der Ebene, jeder mit Gewicht  $w_i$ , und eine Kreisscheibe mit vorgegebenem Radius; finde eine Platzierung der Kreisscheibe, die die Summe der Gewichte aller überdeckten Punkte maximiert. Dieses Problem ist äquivalent zum folgenden Problem definiert für den Schnittgraphen von  $n$  kongruenten gewichteten Kreisscheiben in der Ebene: bestimme eine Clique (die korrespondierenden Kreisscheiben haben einen nichtleeren gemeinsamen Durchschnitt), die die Summe der Gewichte maximiert. Wir präsentieren einen  $O(n^2)$ -Algorithmus für dieses Problem, was eine Verbesserung darstellt gegenüber dem besten bisher bekannten Algorithmus, der sortiert und  $O(n^2 \log n)$  an Laufzeit benötigt.

### 1. Introduction

We consider the following circle placement problem: given a set of points  $p_i, i=1, 2, \dots, n$ , each of weight  $w_i$ , in the plane, and a fixed disk of radius  $r$ , find a location to place the disk such that the total weight of the points covered by the disk is maximized. The problem has an application in location theory. Consider  $n$  cities with different populations and a radio station of a fixed transmission power. An

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optimization problem is to find the site to set up the station so that the maximum possible population can receive its signal. The problem is equivalent to the following maximum weighted clique problem, That is, given a set  $S$  of  $n$  circles,  $D_i$ ,  $i = 1, 2, \dots, n$ , of the same radius  $r$ , each of weight  $w_i$ , find a subset of  $S$  whose common intersection is nonempty and whose total weight is maximized. In [6, 7] the case in which the objects involved are rectangles is studied. A previously known solution [1, 4] to the unweighted maximum clique problem is to sort the intersection points of each circle and the other  $n-1$  circles and scan for each circle the intersection points in, say clockwise order. During the scan a count of the number of intersecting circles with the circle under consideration is maintained, i.e., the count is incremented by 1 when we encounter an intersection point and are about to enter the interior of the new circle contributing the intersection point and is decremented by 1 when we leave the circle of concern. A globally maximum count is retained. Evidently, this scheme works in time  $O(n^2 \log n)$ , which is due to sorting the intersection points  $n$  times, one for each circle, and only obtains the maximum cardinality of the subset of  $S$  whose common intersection is nonempty. With an appropriate bookkeeping the subset of circles in the maximum clique can also be obtained. As for the weighted case, the count is incremented or decremented by the weight of the circle involved. We shall adopt the same strategy of computing the maximum clique in the unweighted or weighted case except that we do not perform sorting and instead obtain a graph-theoretic representation of the intersection graph formed by these  $n$  circles, which is a planar graph with intersection points as the vertices and arcs of the circles as the edges.

Since the weighted and unweighted cases are similar, we shall deal with the unweighted case from now on. Let  $\{D_1, \dots, D_n\}$  be a set of  $n$  disks of radius  $r$ . We shall construct the intersection graph  $G$  in an iterative manner, i.e., by inserting a new disk, one at a time, into a previously obtained structure. The structure is initially set to be empty and will be represented, in general, by adjacency lists. The structure is updated upon insertion of a new disk  $D$  by traversing each face of  $G$  that intersects the boundary of  $D$ , updating the adjacency lists on the fly. The greedy method is an analog of the one used in computing the line arrangement of  $n$  lines in the plane [3, 5]. It can be easily shown that this operation takes  $O(n^2)$  time, but it was an open question to decide whether this bound was optimal. We show that the greedy algorithm is in fact linear, and is therefore more attractive than the best method previously known. In the remainder of this paper we will successively describe the basic data structure, give a precise definition of the greedy algorithm, and finally analyze its complexity. We remark here that a straightforward plane sweep algorithm [2] could be used to solve the maximum clique problem in  $O((n+K) \log n)$  time, where  $K$  is the number of actual intersections between circles.

To avoid singular cases, we introduce two dummy vertices on the boundary,  $D_i^*$ , of each disk  $D_i$ . These vertices correspond respectively to the lowest and highest points on  $D_i^*$ . With this minor addition, each edge of  $D_i$  now belongs totally to either the left or right part of  $D_i$ . Fig. 1 depicts the basic data structure and its relation to the planar graph  $G$ . The representation consists of a list of 9-field records, each record being associated with an edge of  $G$ . Record for edge  $e$  stores 1. pointers to the coordinates of its endpoints; 2. a flag to indicate on which side (left or right) or its

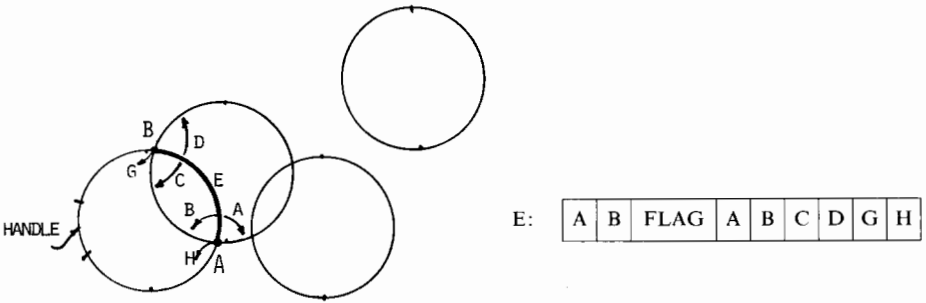


Fig. 1

supporting disk it lies; 3. pointers to the four edges emanating from  $e$  clockwise and counterclockwise around its endpoints; 4. pointers to the two edges adjacent to  $e$  on its supporting disk,  $D_i$ . The data structure is similar to the doubly-connected-edge-list representation of a graph [8,9]. In general, each node in  $G$  has degree four, except for the top and bottom points of the disks, which have in general degree 2. Finally, for each disk  $D_i$ , we keep a pointer to an arbitrary edge of  $G$  that lies on  $D_i^*$ . This pointer, called the handle of  $D_i$ , will allow us to walk around any disk without any preliminary search.

This representation of  $G$  allows us to traverse the boundary of each face in clockwise or counterclockwise order in time proportional to the description-size of the face. This operation is the basis of the greedy algorithm, which we proceed to describe after setting some notation. Each disk  $D_i$  has its boundary denoted  $D_i^*$  and its center,  $C_i$ . Similarly,  $D^*$  and  $C$  denote respectively the boundary and the center of  $D$ , the new disk to be inserted. Let  $G^*$  be the planar graph formed by  $\{D_1, \dots, D_n, D\}$ .  $G^*$  always has exactly one unbounded face, which may possibly contain holes. A face of  $G^*$  is called a *facet* of  $D$  if it lies outside of  $D$  and contains an edge lying entirely on  $D^*$ . Any intersection point between  $D^*$  and  $D_i^*$  is called an *anchor*, and the two edges on  $D^*$  adjacent to the anchor are called the *bases* of the anchor. Note that a given facet always has twice as many anchors as bases.

## 2. The Greedy Algorithm

Before describing the algorithm, we must investigate the possible configurations of facets. To begin with, we define the notion of traversal. Consider the boundary of a facet  $f$ , and remove its bases. We obtain a set of disjoint paths in  $G^*$ , which are called *traversals* (Fig. 2). Technically, a traversal  $T$  is simply a sequence of arcs, but the term itself suggests the actual visit of the arcs. We will therefore make use of the expression: "to perform a traversal  $T$ " as referring to the algorithmic notion of visiting the edges of  $T$  in turn. This can be accomplished either clockwise or counterclockwise with respect to the face encompassed.  $T$  is said to be a *positive traversal* if the face lies to the *right* (clockwise) and a *negative traversal* if the face lies to the *left* (counterclockwise). Note that a directed traversal always has one starting point. From now on, unless specified otherwise, traversals will be understood as directed traversals. We distinguish between two important classes of traversals.

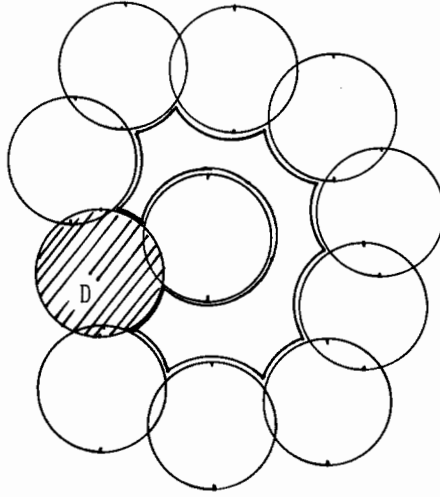


Fig. 2

**Definition 1:** Let  $p$  be the starting point of a directed traversal  $T$  (either positive or negative). If the Euclidean distance between  $p$  and every point (not just vertices) of  $T$  is strictly smaller than  $2r$ , the traversal is said to be *bounded*; otherwise it is called *wide*. Note that these notions are defined only for directed traversals, which means, in particular, that a traversal may be bounded in one direction and wide in the other.

We will show later on that a bounded traversal has the very nice property that its endpoints constitute a base. Furthermore, all but at most a constant number of traversals are bounded. This will provide the basis of the greedy algorithm. In the first stage, let's establish the validity of these two claims, then let's use them to completely specify the greedy algorithm. Before proceeding, we must introduce a notion of topological orientation fundamental in the following.

Let  $p$  and  $q$  be two points on a simple closed curve,  $C$ , and consider a simple directed curve running from  $p$  to  $q$  and lying completely outside of  $C$ . From genus considerations with respect to the region obtained by removing the interior of  $C$  from the plane, it easily follows that there are exactly two topologically distinct classes of directed curves from  $p$  to  $q$ . In one case, the curve runs around  $C$  clockwise so that the bounded region encompassed by the curve and  $C$  lies to the right and is said to be *positively oriented around  $C$* ; in the other case, the curve runs counterclockwise, and is thus *negatively oriented* (Fig. 3). When we use this notion later on,  $C$  will be either a circle or the outside boundary of several intersecting disks.

In the following, we will use the term path in the geometric or the graph-theoretic sense indifferently, when there is no ambiguity from the context. For example, we will refer to the positive or negative orientation of a directed path in  $G^*$  from a point on  $D^*$  to another. For convenience, we introduce the following piece of notation: let  $K$  be a disk and  $A$  an arbitrary point in the plane distinct from its center. The line  $L$  passing through  $A$  and the center of  $K$  intersects the disk in two points  $a$  and  $b$ , with say  $b$  the further away from  $A$ . We define  $R(A, K)$  to be the unique ray supported by

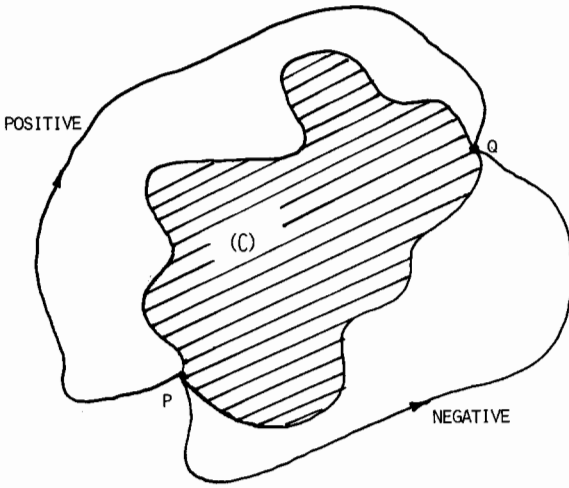


Fig. 3

$L$ , emanating from  $b$ , and not intersecting  $K$ . If  $p$  and  $q$  are two points on  $D^*$ , we designate the arc running clockwise from  $p$  to  $q$  by  $A(p, q)$ . Finally observe that if a traversal starts at a point  $p$  which is inside (resp. outside) a disk  $D_i$ , then the path traversed must remain in (resp. out of)  $D_i$ , i.e., any traversal must be *consistent*. Furthermore, if the traversal is inside some disk, it must be bounded. Note that the converse is not necessarily true.

**Lemma 1:** *Let  $T$  be a (directed) bounded traversal from  $p$  to  $q$ . Then the endpoints of  $T$  span a base, which is the arc  $A(p, q)$  (resp.  $A(q, p)$ ) if  $T$  is positive (resp. negative).*

*Proof:* Assume without loss of generality that  $T$  is a bounded positive traversal; the negative case is treated similarly. Let  $R$  be the bounded region enclosed by  $D^*$  and  $T$  (Fig. 4). We will first prove that no disk  $D_i$  can intersect  $R$  strictly (i.e. intersect the interior of  $R$ ). If such a disk intersects  $R$ , it is easy to show that the ray  $R(p, D_i)$  must intersect  $T$  at least once, which is a contradiction, since  $T$  is a bounded traversal. From this result we immediately derive that  $T$  must be positively oriented around  $D^*$ , and as we just saw,  $R$  is free of strict intersection with any  $D_i$ . This implies that  $R$  is precisely the facet corresponding to  $T$ , and that the arc  $A(p, q)$  is the unique base of this facet.

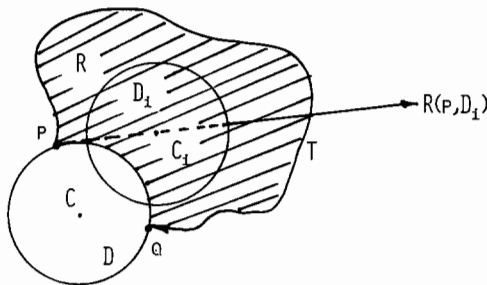


Fig. 4

We are now ready to show that most directed traversals are bounded. To do so, we give, without proof, two elementary results on the relative position of several circles.

**Lemma 2:** *It is impossible to arrange more than 5 disks of radius  $r$ , with each intersecting  $D$ , but no two intersecting each other outside  $D$ .*

Fig. 5 a depicts a placement of 6 disks, which is tight in that each disk intersects  $D$  and its two neighbors only on their boundary.

**Definition 2:** Assume that the region outside three disks of radius  $r$  has two connected components. One of them has to be bounded; it is called the *tripod* of the three disks. Note that three disks will often not form any tripod.

**Lemma 3:** *The tripod of three disks of radius  $r$  cannot contain two points more than  $r$  apart from each other.*

Fig. 5 b illustrates the case where the distance  $r$  is actually achieved.

We are now in a position to prove the second claim made earlier concerning the scarcity of wide traversals.

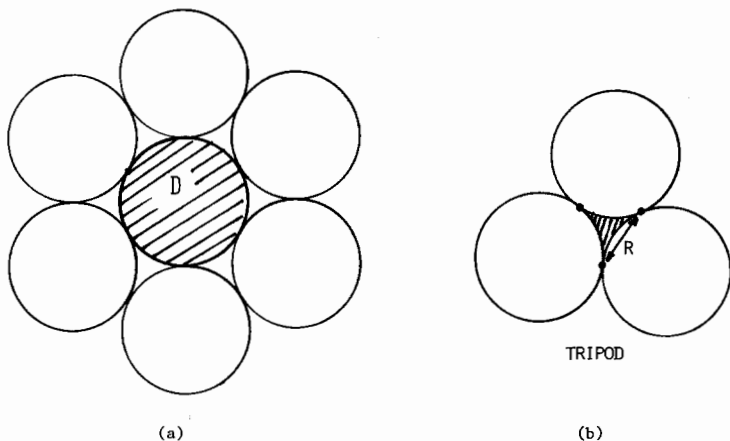


Fig. 5

**Lemma 4:** *There are at most a constant number of wide traversals.*

*Proof:* Let  $V$  be the clockwise sequence of anchors that are the starting points of wide positive traversals. This sequence is uniquely defined up to a circular permutation. Let  $p_1, p_2, p_3$  be three consecutive anchors in  $V$ , and let  $D_i$  be the disk contributing the edge of the wide traversal anchored at  $p_2$ . Since any traversal must be consistent, i.e., no traversal can go through both the interior and the outside of any disk in  $S$ , and since  $D_i$  cannot contain a wide traversal in its interior, it follows that the intersection of  $D_i$  and  $D^*$  must be a sub-arc of  $A(p_1, p_3)$ . Identifying such disks for every other element in  $V$  leads to a set of  $\lfloor |V|/2 \rfloor$  disks, all intersecting  $D$ . Suppose now that two of these disks intersect outside of  $D$ . Since there is at least one starting point  $p$  of  $V$  between them, they must form a tripod with  $D$ , but Lemma 3

shows that this will force any traversal emanating from  $p$  to be bounded, hence a contradiction. This sets the conditions of Lemma 2, from which we derive the inequality,  $\lfloor |V|/2 \rfloor \leq 5$ , which completes the proof.

We are now ready to describe the greedy algorithm in its entirety. Compute all intersections between  $D$  and  $D_i$ , for  $i = 1, \dots, p$ , and make these points the unmarked elements of a set  $Q$ , initially empty. The algorithm will involve marking the elements of  $Q$  one after the other, and will terminate when all of them have been marked at least once. If no intersection points are found to be inserted into  $Q$  in the first place, it is trivial to complete the algorithm, so we will assume that  $Q \neq \emptyset$ . Let  $p$  be an unmarked element of  $Q$ . Note that  $p$  is an anchor, and thus the starting point of two directed traversals, one positive and the other negative. We will operate in two stages, one with respect to each traversal. Because of symmetry, we may describe the sequence of actions only for the *positive* traversal, denoted  $T$ , with the understanding that a symmetric task will have to be executed with respect to the negative traversal right after completion of the first stage.

Mark  $p$  and locate its supporting edge in  $G$ , on  $D_i$ . We can do this in  $O(n)$  time by simply starting at the handle of  $D_i$  and walking through the adjacency lists of  $G$  (of course, we will not have to repeat this work at the second stage). Next, perform the positive traversal,  $T$ , starting from  $p$ . If  $T$  is bounded, it will lead to an anchor  $q$  which, by Lemma 1, is known to form a base with  $p$ , via the arc  $A(p, q)$ . This allows us to insert the base into  $G$  and update all the appropriate records, which in particular involves splitting the two edges of  $G$  cut out by  $p$  and  $q$ , and restoring the proper links between adjacent edges. At this stage, we mark  $q$  and start the *unique* positive traversal emanating from  $q$ . We will iterate on this process, i.e., performing successive positive traversals, until either we reach an anchor that is marked or we detect a wide traversal, whichever occurs first. Note that the latter condition can be checked in constant time at any step. When this process terminates, we perform the identical series of operations, starting negative traversals from  $p$ . When both stages have been completed, we pick any unmarked point in  $Q$ , locate it in  $G$  in  $O(n)$  time, and iterate on the same process, until we reach termination.

Consider now the sequence  $V$  of bases in  $G^*$  given, say, in clockwise order around  $D$ . Between two successive selections of an unmarked anchor in  $q$ , we know from Lemma 1 that we will find (and insert into  $G$ ) a subset of bases, yet undiscovered, which constitute a subsequence of  $V$ . For this reason, we can easily prove by induction that the endpoints of all these subsequences are anchors that are also starting points of at least one wide traversal. We can then use Lemma 4 to conclude that, in the end, all the bases of  $V$  will have been found and inserted in  $G$ , except for at most a constant number of them. This shows that the sequence  $V$  appears in  $G$ , at that point, as a chain with at most a constant number of missing links. By maintaining the endpoints of these chains by angular order around  $C$ , we can immediately merge the chains together and reconstitute the complete sequence  $V$  in constant time.

This completes the description of the greedy algorithm. We must next establish its complexity, and to do so, a few preliminary remarks are in order. First of all, the algorithm clearly requires  $O(n)$  time if we discount all the traversals performed. The

main difficulty now resides in evaluating the number of steps involved in these traversals. Because of our choice of data structure used in the algorithm, this quantity is proportional to the total number of edges visited during the traversals. Let  $C(n)$  be the maximum number of edges visited in all the positive traversals. By symmetry this will also give a measure of the cost incurred in the negative traversals. We conclude with the following result, which sets the stage for the complexity analysis of the greedy algorithm.

**Lemma 5:** *The worst-case running time of the greedy algorithm is  $O(n + C(n))$ .*

### 3. Complexity Analysis

We introduce some notation which will help identify the basic components of the time complexity. Recall that all the traversals (positive or negative) actually performed in the course of the greedy algorithm are bounded, even though they might be sub-part of wide traversals. For all purposes, therefore, we can regard any traversal performed in the algorithm as a bounded traversal. Recall that from now on we will deal exclusively with positive traversals. For this reason, we refer to any positive traversal performed in the algorithm as a bounded positive traversal, or BPT for short. Note that with respect to a given facet, any edge can be classified as either convex or concave. An edge of  $G$  will in general contribute zero or one facet-edge. Occasionally, it will contribute two: a convex one and a concave one. It will be relatively easy to find an upper bound on the number of convex edges, but unfortunately, dealing with concave edges will require a slightly heavier treatment. For this reason, we now take a closer look at the nature of concave edges.

To characterize the relative position of a concave edge  $e$ , we introduce the notion of  $L$ -,  $R$ -, and  $F$ -edges. Let  $D_i$  be the disk supporting  $e$  and let  $P$  be the directed path from  $p$  to  $v$ , where  $p$  is the starting point of the positive traversal visiting  $e$ , and  $v$  is the first endpoint of  $e$  encountered during the traversal. Assume now that  $D_i \cap D \neq \emptyset$ , and let  $C$  denote the boundary of  $D \cup D_i$ .

**Definition 3:** The concave edge  $e$  is called an  $L$ -edge (resp.  $R$ -edge) if  $P$  is negatively (resp. positively) oriented around  $C$ . If  $e$  is a concave edge but its supporting disk,  $D_i$ , does not intersect  $D$ , it is called an  $F$ -edge.

Fig. 6 illustrates these various notions. Note that any concave edge has a unique type:  $L$ ,  $F$ , or  $R$ . Finally, we introduce the concept of *essential* edges. We say that a convex edge of a BPT is *essential* if it is immediately preceded and followed by convex edges in the BPT. To extend this notion to concave edges, we consider the sublist  $V$  of concave edges in the order induced by the BPT. An edge  $e$  of  $V$  is called *essential* if it is preceded and followed in  $V$  by at least one edge of the same type (these edges don't have to be immediate predecessors and successors of  $e$  in  $V$ ). Notice the basic difference between the definition "essential" for convex and concave edges. In the first case, we insist on adjacency in the BPT, whereas in the latter, we require only that at least one edge of the same type appears somewhere before and after  $e$  in the list  $V$ . In all cases, however, we are able to associate a pair of



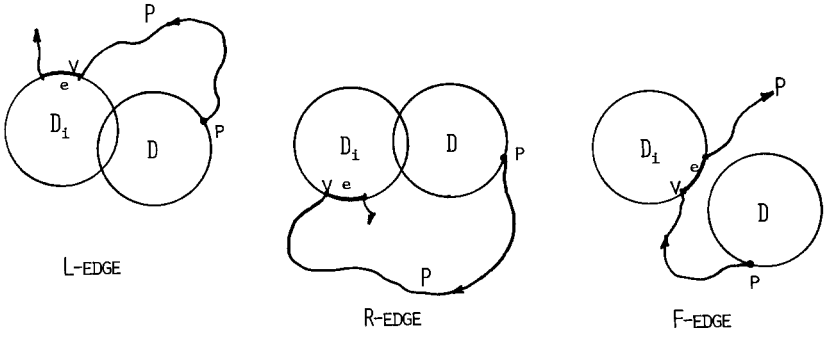


Fig. 6

edges (not necessarily unique) with each essential edge. We will often use this notion of “association” later on.

Let  $C_V(n)$ ,  $C_L(n)$ ,  $C_F(n)$ ,  $C_R(n)$  denote the number of edges visited during all the BPT’s and falling respectively in the following category: 1. essential convex edges; 2., 3., 4. essential concave edges of type  $L$ ,  $F$ , and  $R$ , respectively. Our plan of attack for the following will be inspired by the following lemma.

**Lemma 6:**  $C(n) = O(n + C_V(n) + C_L(n) + C_F(n) + C_R(n))$ .

*Proof:* A few key observations will suffice to substantiate our claim. We can regard each BPT as a word formed over the alphabet  $\{V, L, F, R\}$ , with each letter indicating an edge-type (convex,  $L, F, R$ ). Let  $\#X$  designate the number of occurrences of letters  $X$ . We clearly have

$$\#V \leq C_V(n) + 2(1 + \#L + \#F + \#R),$$

with respect to each word. Since there are at most  $2n$  words, we globally have

$$\#V \leq C_V(n) + 2(2n + \#L + \#F + \#R).$$

Any BPT has at most two non-essential concave edges of each type, therefore summing over all the words, we derive the inequality,

$$\#L + \#F + \#R \leq C_L(n) + C_F(n) + C_R(n) + 12n,$$

which completes the proof.

The remainder of this section will be a sequence of lemmas establishing upper bounds on each of the quantities,  $C_V(n)$ ,  $C_L(n)$ ,  $C_F(n)$ , and  $C_R(n)$ . Before proceeding, we will establish a technical result which we will use on several occasions later on.

**Lemma 7:** Let  $L^+$  and  $L^-$  be the two half-planes delimited by a line  $L$ , and let the disk  $D_i$  be tangent to  $L$  in  $L^+$ . Let  $A$  be the point of contact,  $L \cap D_i^*$ . If a traversal starts from an anchor  $p$  in  $L^-$  and intersects the ray  $R(A, D_i)$ , then it must be wide.

*Proof:* Let  $q$  be a point of intersection between the traversal and the ray  $R(A, D_i)$ . It is elementary to show that the Euclidean distance between  $q$  and any point in  $L^-$  is at least  $2r$ , therefore the traversal cannot be bounded.

### 3.1 Dealing with Essential Convex Edges

To begin with, we establish an upper bound on  $C_V(n)$ , the maximum number of essential convex edges encountered in all the positive traversals. This will allow us later on to restrict our attention to concave edges.

**Lemma 8:**  $C_V(n) = O(n)$ .

*Proof:* A simple observation will allow us to break up the problem into two easier subproblems, mirror-image of each other. Our goal is to evaluate the maximum contribution of a disk  $D_i$  to the number of essential convex edges. Obviously, any contribution of  $D_i$  implies that its intersection with  $D$  is not empty. Suppose without loss of generality that  $C_i$  is vertically aligned above  $C$ . Let's break up every edge on  $D_i^*$  that intersects the line  $L$  passing through  $CC_i$  into its two sub-parts. This allows us to classify each edge on  $D_i^*$  unambiguously as *uphill* (resp. *downhill*) if it lies to the left (resp. right) of  $L$ . Of course, this notation can be extended to all edges encountered during the traversals. An essential convex uphill (resp. downhill) edge is called a *U-edge* (resp. *D-edge*) if it is followed (resp. preceded) by an uphill (resp. downhill) edge. Let  $U(n)$  and  $D(n)$  denote, respectively, the maximum number of *U*- and *D*-edges in all the BPT's. A simple geometrical observation shows that no uphill convex edge can be preceded by a downhill convex edge in any given positive traversal.

To see this, let  $e$  and  $f$  be two convex edges appearing in this order in some positive traversal, and let  $D_i$  and  $D_j$  be the disks contributing  $e$  and  $f$ , respectively. If  $i=j$ , the order  $e, f$  corresponds to the clockwise order of the arc  $(D_i^* \setminus D)$ , therefore  $f$  cannot be uphill if  $e$  is downhill. Suppose now that  $i \neq j$ , and let  $I$  denote the intersection  $D_i \cap D_j$ . Since  $e$  and  $f$  are convex facet-edges,  $D^*$  must intersect  $I$ , so we can define  $P$  to be the directed path going clockwise around the boundary of this intersection. Note that  $P$  is made of two or three arcs, depending on the relative positions of  $D$  and  $I$ . It is easy to verify that the distance  $d = |Cp|$  is always a unimodal function when  $p$  describes  $P$  ( $d$  is first increasing, then decreasing). It follows that if  $e$  (resp.  $f$ ) is a downhill (resp. uphill) edge for traversal  $T$ , it must lie on the decreasing (resp. increasing) part of the function  $d$ , therefore the traversal  $T$  will necessarily disconnect its corresponding facet from  $D^*$ , which is a contradiction (Fig. 7). This

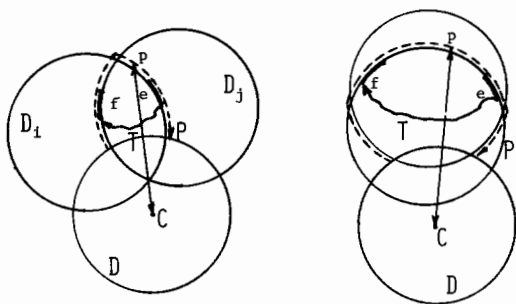


Fig. 7

proves that if  $e$  is downhill, so must be  $f$ , which establishes our claim. With this result we have

$$C_V(n) = O(n + U(n) + D(n)).$$

The next step is to determine an upper bound for both  $U(n)$  and  $D(n)$ . Let us begin with the determination of an upper bound on  $U(n)$ . Let  $V$  be the clockwise sequence of essential convex edges contributed by  $D_i$  and  $e_1, e_2, e_3$  be three consecutive elements of  $V$  such that  $e_1$  is a  $U$ -edge. We wish to show that the cardinality of  $V$  is bounded by a constant. Without loss of generality assume that  $D_1$  (resp.  $D_3$ ) is the disk contributing the next edge after  $e_1$  (resp. before  $e_3$ ) in the associated traversal. For obvious reasons,  $D_1$  and  $D_3$  cannot intersect each other in the crescent  $(D_i \setminus D)$ , otherwise  $e_2$  could not be the convex edge of any traversal. We will introduce some notation before proceeding. Without loss of generality assume that  $C_1$  is vertically aligned above  $C$ . Let  $u$  be the last endpoint of  $e_1$  and  $v$  the first endpoint of  $e_3$ , clockwise around  $D_i$  (Fig. 8). Let  $E$  (resp.  $E'$ ) denote the highest point of  $D_i$  (resp.  $D_1$ ) with the line  $CC_1$ ; let  $H$  and  $I$  be, respectively, the left and right points of  $D^* \cap D_i^*$ , and let  $J$  designate the rightmost point of  $D_1$ .

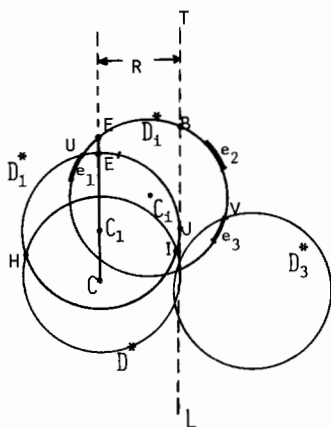


Fig. 8

Since  $e_1$  is a  $U$ -edge, it is immediately followed by an uphill edge, therefore  $E$  has higher  $y$ -coordinate than  $E'$ , which implies in turn that  $D_i$  contains  $E'$ . The fact that  $e_2$  is a facet-edge implies that  $D_i$  must also contain  $I$ . As a result, the disk  $D_i$  contains the entire arc  $A(E', I)$ , and therefore the point  $J$ , too. Let  $B$  be the intersection of  $D_i^*$  with the vertical ray, denoted  $t$ , that emanates upwards from  $J$ . Since  $e_2$  and  $e_3$  are both facet-edges,  $D_3^*$  must intersect  $D^*$  on the arc  $A(I, H)$ , which implies that  $D_3^*$  cannot possibly intersect the ray  $t$ . This shows that the arc  $A(u, v)$  strictly contains  $A(E, B)$ , therefore its length is bounded below by  $r$ . This allows us to estimate a bound on the minimum "angular distance" between  $e_1$  and  $e_3$ . Indeed, we can easily use this result to prove that the number of  $U$ -edges contributed by  $D_i$  cannot exceed

$$2 \times \left( \frac{2\pi r}{r} \right) = 4\pi.$$

A similar reasoning can be applied to  $D$ -edges. This completes the proof.

### 3.2 Dealing with $F$ -Edges

We can now exclusively concentrate on essential concave edges. We will start with the investigation on the maximum number of essential  $F$ -edges. Recall that with any such edge  $e$  is associated a set of pairs of  $F$ -edges of the form  $(e_i, e_j)$ , with  $e_i$  (resp.  $e_j$ ) preceding (resp. following)  $e$  in the corresponding positive traversal. Let  $F(e)$  designate this set of pairs. For the sake of simplicity, we will slightly strengthen the notion of essential  $F$ -edges. We use the notation  $D(X)$  to designate the disk supporting the facet-edge  $X$ . If for all pairs  $(e_i, e_j)$  in  $F(e)$ , we have  $D(e) \cap D(e_i) = \emptyset$  or  $D(e) \cap D(e_j) = \emptyset$  (or both), we say that the edge  $e$  is loose.

**Lemma 9:** *The maximum number of loose edges visited in all the positive traversals of the greedy algorithm is  $O(n)$ .*

*Proof:* It suffices to show that no BPT  $T$ , can contain more than a constant number of loose edges. We consider two cases. First, let's assume that  $T$  does not contain any convex edge. To begin with, we will show that it is impossible for  $T$  to contain two edges of the form  $(F, L)$  or  $(R, F)$ , appearing in this order (note that we do not require the edges to be adjacent). Let's consider the first case. Assume that  $D_j$  and  $D_i$  provide respectively the  $F$ - and the  $L$ -edges (Fig. 9). Let  $L$  be the line normal to  $CC_j$  that passes through the point of  $D_j$  closest to  $C$ , and let  $L^-$  denote the half-plane delimited by  $L$  that contains the disk  $D$ . Since  $T$  is a positive traversal, we derive that  $T$  must cross the ray  $R(C, D_j)$ . Since the starting point of  $T$  lies in  $L^-$ , we are exactly in the conditions of Lemma 7, which leads to a contradiction. The second case is very similar, and we omit the details. Returning now to our original problem, we can easily use these two results to prove that any loose edge in a convex-edge-free BPT must be immediately preceded and followed by  $F$ -edges. But this is in blatant contradiction with the fact that the edge is loose. Consequently any BPT free of convex edges is also free of loose edges.

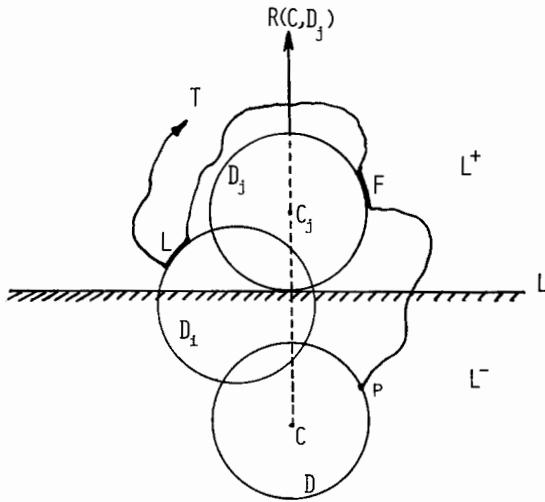


Fig. 9

Assume now that the BPT  $T$  contains at least one convex edge. We observe that the traversal will then be entirely contained inside the convex figure formed by the intersection of all the disks contributing convex edges to  $T$ . Given the fact that the edges of this figure are arcs of same radius, we derive that no disk can contribute more than one concave edge to  $T$ . We can thus order the disks contributing concave edges according to the sequence  $V$  in which their respective edges appear in  $T$ . Let  $W$  be the sequence of disks induced by  $V$ . Note that there is a one-to-one correspondence between  $V$  and  $W$ . Consider now the graph  $H$  whose node-set is  $W$  and whose edges indicate whether two disks of  $W$  intersect or not. It is obvious that each connected component of  $H$  maps to a contiguous subsequence in  $V$ . Let  $S = \{e_1, \dots, e_p\}$  be such a subsequence; the disks supporting any pair of consecutive edges in  $S$  must intersect each other. From our earlier observation that subsequences of edges of the form  $F-L$  or  $R-F$  are impossible, we immediately derive that if  $e_i$  and  $e_j$  are loose, all the edges  $\{e_i, e_{i+1}, \dots, e_{j-1}, e_j\}$  must be of type  $F$ . Combining these two facts, we conclude that if  $S$  contains three loose edges, the middle one will be immediately preceded and followed in  $S$  by  $F$ -edges, which contradicts the fact that it is loose. Up to within a constant factor, it then appears that the number of loose edges in the facet is dominated by the number of connected components in  $H$ . Since, by assumption,  $T$  has at least one convex edge, the traversal is contained entirely inside its contributing disk therefore, since  $T$  is a BPT, all the disks of  $W$  must lie entirely in the circle of radius  $4r$  centered at the starting point of  $T$ . Since obviously no more than

$$\frac{\pi(4r)^2}{\pi r^2} = 16$$

disks of radius  $r$  can be packed into a disk of radius  $4r$  in a non-overlapping position,  $H$  cannot have more than 4 connected components. This completes the proof.

We are now ready to establish an upper bound on the total number of essential  $F$ -edges.

**Lemma 10:**  $C_F(n) = O(n)$ .

*Proof:* Because of Lemma 9, we may deal with non-loose essential  $F$ -edges exclusively. Once again, our strategy will be to prove that no disk can contribute more than a constant number of these edges. Let  $V$  be the list of non-loose essential  $F$ -edges contributed by disk  $D_i$ . Removing from  $D_i^*$  two consecutive edges of  $V$  will leave two disconnected arcs which must each contain the two intersection points with  $D_i^*$  of at least one disk of  $S$  that does not intersect  $D$ . For this reason, none of these disks can contain any edge of  $V$  in their interior. This shows that every consecutive pair of edges in  $V$  is separated by at least one disk. For simplicity let's keep only one such disk per pair. Of the remaining disks, no two can form a non-empty tripod with  $D_i$ . To see this, suppose that two of them,  $D_j, D_k$ , form a tripod with  $D_i$ . The tripod will necessarily enclose some edge of  $V$ , which will in turn force  $D$  to intersect at least two of the three disks  $D_i, D_j, D_k$ , which is impossible. This sets the conditions for applying Lemma 2. It follows that  $V$  cannot contain more than 5 elements, which completes the proof.

### 3.3 Dealing with Edges of Type L or R

The next and final step is to prove that the total number of essential edges of type L or R is  $O(n)$ . Because of symmetry, it suffices to show that  $C_L(n) = O(n)$ . To begin with, let's investigate the nature of L-edges more closely. Let  $D_i$  be a disk contributing an essential L-edge  $e$  to the greedy algorithm, and let  $T$  be its associated BPT. This fact implies in particular that  $D$  and  $D_i$  intersect, so we can define the arcs  $L = (D^* \setminus D_i)$  and  $M = (D_i^* \setminus D)$ . We will regard these arcs as directed; counterclockwise around  $D$  for  $L$  and counterclockwise around  $D_i$  for  $M$ . This grants a total order on the points of these arcs, which we can use to describe interesting properties of essential L-edges.

**Lemma 11:** *Let  $p$  denote the starting point of  $T$ , and let  $q$  be the first endpoint of  $e$  (in the direction of  $T$ ). Suppose now that  $T'$ ,  $p'$ ,  $q'$ ,  $e'$  are defined in exactly the same manner as  $T$ ,  $p$ ,  $q$ ,  $e$ , with the only difference that  $q'$  follows  $q$  on the directed arc  $M$  (Fig. 10). It is then the case that  $p'$  must precede  $p$  on  $L$ .*

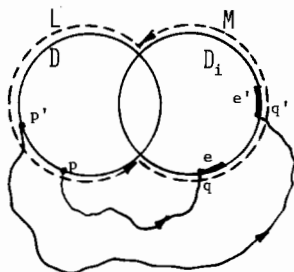


Fig. 10

*Proof:* A direct consequence of the Jordan Curve Theorem.

With this simple fact in hand, we shall show that the number of essential L-edges contributed by any disk  $D_i$  is at most one. Let  $e$  be the essential L-edge on a BPT  $T$  that is closest to  $I$  along  $A(J, I)$  (Fig. 11) and let  $f$  be an L-edge that follows

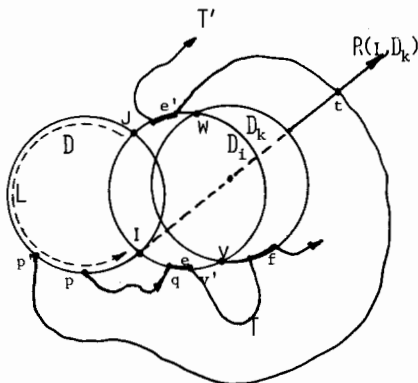


Fig. 11

$e = A(v', q)$  in  $T$  and is contributed by disk  $D_k$ . Recall that  $e$  and  $f$  are not necessarily adjacent. Let us assume that  $D_k^*$  intersects  $D_i^*$  at  $v$  and  $w$ . Suppose there exists another essential  $L$ -edge  $e'$  contributed by  $D_i$ . Then  $e'$  must be on arc  $A(J, w)$ . If  $p$  is the starting point of  $T$  and  $p'$  the starting point of  $T'$  containing  $e'$ , from Lemma 11,  $p'$  must precede  $p$  on  $L$ . Furthermore, the BPT  $T'$  must encompass the disk  $D_k$  and intersect  $R(I, D_k)$  at a point  $t$ , since  $T'$  is negatively oriented around the boundary of  $D \cup D_i$ . Since the starting point  $p'$  of  $T'$  must precede  $p$ , we easily see that  $T'$  must intersect  $R(p', D_k)$ , a contradiction.

**Lemma 12:** *No disk can contribute more than one essential  $L$ -edge.*

Putting all the results found so far together, we can conclude:

**Theorem 1:** *The greedy algorithm for maintaining the intersection graph formed by inserting a new disk into a collection of  $n - 1$  disks of the same size runs in  $O(n + C(n))$  time, which is  $O(n)$ .*

**Theorem 2:** *The planar graph  $G = (V, E)$  formed by  $n$  disks of the same radius with  $V$  being the set of intersection points of these disks and  $E$  the set of arcs each of which is determined by two intersection points, can be constructed in  $O(n^2)$  time.*

*Proof:* Apply the greedy algorithm iteratively  $n - 1$  times. Since each iteration takes  $O(n)$  time, the claim follows.

Once we have shown that the intersection graph  $G$  can be computed in  $O(n^2)$  time, by using the scanning algorithm of [1, 3] we can obtain the size of the maximum clique of the intersection graph formed by a set of  $n$  disks of the same radius  $r$  in  $O(n^2)$  time. Thus, we have our main result.

**Theorem 3:** *The maximum clique of a set of  $n$  disks of radius  $r$  can be found in  $O(n^2)$  time.*

#### 4. Concluding Remarks

For the sake of simplicity, we have deliberately made use of very conservative estimates in evaluating the running time of the greedy algorithm. We believe that the algorithm is not only linear, but also very efficient in practice. This can be ascertained by implementing the algorithm and performing a precise a-la-Knuth complexity analysis.

It is interesting to notice that our algorithm can be used as such to compute any arrangement of lines in the plane [3, 5].

Of interest is the problem in which the disks involved are not of the same size. Whether or not similar results can be obtained remains to be seen. In contrast to the maximum clique problem for rectangles, the time complexity of  $O(n^2)$  is not known to be optimal. The optimality problem will be also of interest and worth investigating.

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